

Due Date: July 21, 2003

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: George R. Hood Examiner: Andrew Joseph Rudy  
Serial No.: 09/608,682 Group Art Unit: 3627  
Filed: June 29, 2000 Docket: 9011  
Title: RISK PROVISION IMPLEMENTATION FOR FINANCIAL PROCESSING IN  
A RELATIONAL DATABASE MANAGEMENT SYSTEM

## CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and  
Trademark Office on July 21, 2003.

By: [Signature]  
Name: George H. Gates

AMENDMENT UNDER 37 C.F.R. §1.111

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action dated April 21, 2003, please amend the above-identified  
application as follows.

IN THE SPECIFICATION

Please amend the specification as follows: